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Title: Continuous succinic acid fermentation by *Escherichia coli* KJ122 with cell recycle

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1	Continuous succinic acid fermentation by Escherichia coli
2	KJ122 with cell recycle
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8 Highlights

9

• A productivity of 3 g.L⁻¹.h⁻¹ and a yield of 0.77 g.g⁻¹ were achieved at D = 0.15 h⁻¹

• Increased pyruvate dehydrogenase activity at higher *D* leads to increase in yield

- Minimal oxidative tricarboxylic acid (TCA)/glyoxylate flux was detected from analysis
- The batch results are inferior in productivity but superior in yield and titre

14 Abstract

15 High cell densities were obtained by separating the cells with an external hollow fibre 16 filter. Extreme product inhibition at high succinate titres resulted in cell death and 17 subsequent lysis. Accordingly, the highest succinate titre obtained during continuous fermentation was 25 g.L⁻¹ at a dilution rate of 0.05 h⁻¹. The highest volumetric 18 productivity of 3 g.L⁻¹.h⁻¹ and the highest succinate yield (0.77 g.g⁻¹) were obtained at 19 20 the highest dilution rate $(0.15 h^{-1})$. The improved yield was caused by increased 21 pyruvate dehydrogenase activity, leading to a decrease in pyruvate and formate 22 excretion and an increase in the reductive flux towards succinate as additional 23 reduction power was produced. The oxidative tricarboxylic acid cycle flux was 24 determined to be minimal, with most of the acetyl coenzyme A (acetyl-CoA) 25 culminating as acetate. Although comparative batch fermentations exhibited a fivefold 26 lower volumetric productivity than the maximum obtained in the cell recycle runs, higher succinate titres (56 $g.L^{-1}$) and yields (0.85 $g.L^{-1}$) were obtained. The higher 27 28 batch yields were attributed to pyruvate and formate consumption after the termination of cell growth. 29

Keywords: Succinic acid, *Escherichia coli*, Continuous cell recycle, High-cell-density
 fermentation, Metabolic flux analysis

32 1 Introduction

33 In future biorefineries, sugar-derived fermentation products will replace numerous fossil-based chemical intermediates. The organic acid platforms form an integral part 34 of these biobased replacement molecules, especially dicarboxylic acids, which allow 35 36 various polymerization options. As expected, succinate is still considered one of the top 10 biobased products from biorefinery carbohydrates on the list of top biobased 37 chemicals revisited by the U.S. Department of Energy.¹ Succinate can potentially 38 replace a significant fraction of the petrochemical-derived maleic anhydride and 39 1.4-butanediol market from which the intermediate tetrahydrofuran is produced.² 40 Tetrahydrofuran is the building block used to produce elastic fibres and engineer 41 42 thermoplastics. Succinate is also likely to replace adipic acid in the production of polyurethane from polyester polyols.³ In addition, the market for polybutylene 43 succinate, a polyester consisting of succinate and its hydrogenated alcohol product 44 (1,4-butanediol), is anticipated to grow rapidly within the next decade.⁴ 45

46 Succinate is a natural fermentation product in the anaerobic metabolic pathway of 47 several bacteria. Wild bacteria such as Actinobacillus succinogenes, Mannheimia succiniciproducens and Anaerobiospirillum succiniciproducens have been extensively 48 studied; although excellent final titre, productivity and yield have been obtained 49 50 successfully, unwanted by-products such as acetate and formate remain a challenge.³ 51 In addition, the presence of complex nutrients in the growth medium poses a severe cost disadvantage.⁵ From a metabolic engineering viewpoint. *Escherichia coli* is an 52 53 ideal host as succinate is produced as part of the anaerobic catabolism of the wild strain. Accordingly, numerous groups have modified this host^{6–10} in an attempt to 54 55 achieve homosuccinate fermentation. The stoichiometric limitation of homosuccinate fermentation is well established, and it is theoretically possible to obtain a yield of 56 1.12 g of succinate per gram of glucose consumed.¹¹ To achieve this yield, the 57

oxidative part of the tricarboxylic acid (TCA) cycle or the glyoxylate shunt is used to
generate the reduction power consumed in the reverse TCA pathway up to
succinate.¹¹

Jantama et al.¹² developed a succinate-producing *E. coli* strain KJ 122, which can 61 62 grow in the absence of complex nitrogen sources. All the genes responsible for byproduct formation were deleted, while the full TCA and glyoxylate cycle provided the 63 oxidative machinery to prohibit, at least in theory, the necessary formation of by-64 products. The reported batch yields of 0.96 g.g⁻¹ suggest that either the oxidative TCA 65 66 or glyoxylate cycle is used to generate reduction power, as redox balancing via acetate formation has a maximum theoretical yield of 0.87 g.g⁻¹ when biomass 67 formation is ignored.¹³ E. coli KJ122 still produced small amounts of acetate; although 68 69 the acetate amount was slightly reduced by the inactivation of phosphotransacetylase 70 (E. coli KJ 134), acetate formation was not terminated. Despite these small amounts of 71 acetate, the yield characteristics and nutrient requirements of E. coli KJ122 remain promising and warrant further studies on the organism under different fermentation 72 conditions. 73

74 Historically, genetic engineering has placed emphasis on high-value, low-volume 75 products, such as pharmaceutical proteins, which require significant downstream 76 purification due to regulatory standards. In a biorefinery, the emphasis will be on low-77 value, high-volume products such as ethanol, succinate and lactate. Therefore, the productivity, yield and outlet titre of the fermenter will play a crucial role in ensuring the 78 feasibility of the biorefinery.^{2,14} Unfortunately, high titres typically lead to lower cell 79 80 productivity, thereby decreasing the volumetric productivity. This effect can be countered by increasing the cell concentration, thus allowing higher throughouts and 81 82 volumetric productivity at acceptable product titres. High-cell-density fermentation

(HCDF) is best suited for continuous operation in which a high cellular content is
maintained in the fermenter by constant cell separation. With higher cell densities, the
dilution rate is not limited by the maximum growth rate, and accordingly cell washout is
not a concern.¹⁵

87 For suspended cell systems, such as *E. coli* fermentation, cell separation via tangential filtration and subsequent recycle is a preferred method of concentrating 88 89 cells. Significant productivity increases have been reported without any negative effect 90 on the yield. Using continuous cell recycle fermentation with Lactobacillus paracasei to produce lactate, Xu et al.¹⁶ obtained a maximum volumetric productivity of 91 92 31.5 g.L⁻¹.h⁻¹, 10 times higher than that of fed-batch fermentations. During continuous cell recycle fermentation with *Debaryomyces hansenii* to produce xylitol, Cruz et al.¹⁷ 93 94 obtained volumetric productivities 4.2 times higher than those achieved during 95 continuous fermentation without cell recycle. A repeated recycle system that cultured recombinant E. coli HB101(pPAKS2) producing penicillin acylase in a membrane cell 96 recycle fermenter obtained >10 times higher productivities than a batch system with 97 dry cell weight (DCW) concentrations of up to 145 $g.L^{-1.18}$ 98

99 In the current study, the E. coli KJ122 strain of interest was tested under continuous 100 high-cell-density conditions. The aim was to establish the titre-volumetric productivity 101 boundary while monitoring variations in the catabolic flux distributions affecting the 102 overall succinate yield. Batch runs were performed in parallel to evaluate the 103 advantages/disadvantages of the high-cell-density process. In the open literature, the 104 reported fermentations of E. coli KJ122 and its sister strain E. coli KJ134 are restricted to batch and chemostat fermentations.^{11,12} However, this study presents the first 105 continuous cell recycle fermentation of the strain. Succinate was produced using D-106

glucose as the main substrate in a minimal medium. Cell separation was achieved by
external recycle using a hollow fibre filter (HFF) to extract the product.

109

110 2 Materials and methods

111 2.1 Microorganism and inocula

112 The modified strain of E. coli (KJ 122) was acquired from the Department of 113 Microbiology and Cell Science of the University of Florida, USA, and used for all 114 fermentations. The details of the performed gene modifications are provided in Table 1. The culture stock was stored in a 66% w.w⁻¹ glycerol solution at -40 °C. The 115 inocula were incubated at 37 °C and 100 r.min⁻¹ for 16–24 h in 50-mL Schott screw-116 117 capped bottles containing 30 mL of sterilized Luria-Bertani broth. Each inoculum was 118 prepared from frozen stock cultures to prevent mutation. The purity of the inocula was 119 tested by high-performance liquid chromatography (HPLC). The strain did not produce 120 lactate or ethanol in detectable quantities and the inoculum was therefore deemed 121 infected if either was detected.

122 **2.2 Medium**

Unless specified otherwise, all chemicals used in the fermentations were obtained from Merck KgaA (Darmstadt, Germany). A defined medium (AM1), developed by Martinez et al.,¹⁹ was used in all the fermentations. The concentrations specified for AM1 was used for all fermentations, except for one batch run, where all concentrations apart from glucose were increased by 50%. The medium was supplemented with 50 g.L⁻¹ D-glucose for continuous fermentations and 90–100 g.L⁻¹ D-glucose for batch fermentations, as the carbon source. CO₂ gas (Afrox, Johannesburg, South Africa)

130 was fed into the reactor as an inorganic carbon source at 0.1 vvm. Antifoam Y30 (0.5-

131 1 g.L⁻¹; Sigma–Aldrich, St. Louis, MO, USA) was also added to prevent foaming.

132 2.3 Analytical methods

133 An Agilent 1260 Infinity HPLC device (Agilent Technologies, Santa Clara, CA, USA) 134 was used to determine the concentrations of glucose, ethanol and organic acids. The 135 HPLC device was equipped with a refractive index detector and a 300 × 7.8-mm 136 Aminex HPX-87H column (Bio-Rad Laboratories, Hercules, CA, USA). A H₂SO₄ solution (0.3 ml.L⁻¹) was used as the mobile phase, at a column temperature of 60 °C. 137 138 A 20-mL sample was taken from the bioreactor and centrifuged for 90 s at 17,000 r.min⁻¹. The supernatant fluid was filtered with a 0.2- μ m filter, and 500 μ L of 139 140 the filtered sample was then transferred to an HPLC sampling vial and diluted with 141 1000 µL of filtered, distilled water.

For determining the cell density, the optical density (OD) at 660 nm and the DCW were measured. On comparing the OD measurements with the DCW, the continuous fermentation results showed a strong linear correlation between the data points, with an R^2 value of 0.88. However, the batch fermentations showed a weaker linear correlation, with an R^2 value of only 0.5. Therefore, only the DCW was used because it was considered to represent cell density better, especially as batch and continuous fermentations are compared in this study.

To calculate the DCW, the supernatant was drained after centrifugation, and the cell pellet was washed twice with distilled water, centrifuged between washes, and resuspended in distilled water. The sample was dried in an oven for at least 24 h at 85 °C before being weighed. Small values of biomass concentration (DCW < 0.4 g.L^{-1}) were considered inaccurate due to unavoidable errors in weighing the vials.

154 2.4 Batch fermentations

155 A 1.5-L Jupiter 2.0 (Solaris Biotechnology, Mantua, Italy) autoclavable fermentation

156 system was used for all fermentations (Fig. 1). The temperature was controlled at

157 37 °C, while the pH was maintained at 7 by a separate controller (Liquiline,

158 Endress+Hauser) and a pH probe (ISFET Sensor CPS471D, Endress+Hauser) to

159 monitor the KOH dosing flow rate. An external Brooks mass flow controller was used

to control the CO₂ inlet flow rates. The mass flow controller, pH controller and pumps

161 were linked to customized LabVIEW (National Instruments) software via a National

162 Instruments cDAQ-9184 module. All gas inlets and outlets contained 0.2-µm

163 polytetrafluoroethylene membrane filters (Midisart 2000, Satorius, Göttingen,

164 Germany).

165 For both the continuous and batch fermentations, the reactor, tubes, medium

166 reservoirs and the HFF (for continuous cell recycle fermentations) were autoclaved

167 together for 40 min at 121 °C. To prevent precipitation and unwanted reactions in the

168 medium, the glucose, trace salts (with KCl and betaine), phosphates and MgSO₄ were

autoclaved separately and mixed after cooling. The KOH reservoir was also

170 autoclaved, but it was filled with base afterwards.

The reactor was filled with 1.5 L of medium, and the CO₂ flow was established to
maintain a positive pressure in the reactor. After stabilizing the pH and temperature,
20 ml of the inoculum was injected into the reactor through a rubber septum at the

174 head of the reactor.

175 The dilution due to KOH dosing and removal of metabolites due to sampling were 176 considered by calculating the batch data in grams produced and then dividing by the 177 initial batch volume of 1.5 L. As large samples were required for DCW analysis, it was

necessary to account for the substrate and metabolites removed. However, the batch
volume remained very close to the initial volume throughout the fermentation, while
the KOH dosing replaced the volume of the removed samples.

181 The volume at each sample point was calculated by adding the accumulated KOH 182 dosing volume and subtracting the accumulated sample volume from the initial 183 volume. The concentration of the produced succinate and acetate and the 184 metabolized glucose was then calculated using Equation 1. For metabolites that 185 decrease later in the batch (formate, pyruvate and DCW), the amount removed when 186 sampling was not accounted for as the data on the metabolites are skewed, resulting 187 in values far above the actual amounts in the reactor. Fortunately, these metabolites 188 are present in considerably smaller quantities than the other metabolites, due to the 189 drastic decrease in concentration later in the fermentation. Therefore, these 190 metabolites were calculated using Equation 2:

$$C_{i}^{*} = \frac{1}{V_{t=0}} \left[C_{i}V + \sum_{s=1}^{i} (C_{i,sample}V_{sample}) \right] - C_{i,t=0}$$
(1)

$$C_i^* = \frac{(C_i V - C_{i,t=0} V_{t=0})}{V_{t=0}}$$
(2)

191 2.5 Continuous fermentation with cell recycle

For continuous cell recycle fermentations, an autoclavable HFF was attached to the reactor. The HFF used consisted of a polysulphone membrane with a total membrane area of 1200 cm² and a nominal molecular weight cut-off pore size of 500,000 (UFP-500E-5A, GE Healthcare, Westborough, UK). The inlet and outlet of the HFF were connected to the reactor, using a peristaltic pump with a high flow rate to recirculate the medium at approximately 0.65 L.min⁻¹. Two autoclavable pressure gauges (EM

series, Anderson Instrument Co., Fultonville, NY, USA) were placed on the filter, one
at the feed inlet and one on the permeate side of the filter, to calculate the
transmembrane pressure (maintained at 0.3 bar) and to ensure that the inlet pressure
did not exceed the maximum pressure rating. A pump was fitted on the permeate line
to control the permeate flow rate and to supply a back pressure to the filter, thus
reducing fouling.

The reactor was filled with 1.3 L of the medium for continuous fermentations, and it was first operated in batch fashion to accumulate sufficient biomass. The dilution rate and permeate flow rate were then set to the required value. The volume of the continuous fermentations was controlled by the bleed stream. The bleed rates varied between 10% and 13% of the feed stream, and no direct link was observed between bleed and dosing variation.

210 The steady state was determined by evaluating the KOH dosing flow 10 h before 211 sampling. Variation within 10% of the average dosing flow rate was deemed sufficient 212 to assume steady state. In order to assess the accuracy of the samples, a mass 213 balance was applied to each sample. The glucose required to form the metabolic 214 products and the removed cells (assuming an elemental composition of $CH_{1,8}O_{0.5}N_{0.2}$) 215 could be calculated and compared with the experimental glucose consumed. The 216 results presented as averaged values are given with standard deviation to show the 217 variation in the values.

218 3 Results and Discussion

219 **3.1 Comparative batch fermentations**

The results from the three batch fermentation runs are given in Fig. 2. The initial
glucose concentration varied between 90 and 102 g.L⁻¹. Runs 1 and 2 are repeat

222 runs, except for the premature termination of run 2 due to a CO₂ shortage. Run 3 was 223 performed with 1.5 times the concentration of the standard AM1 medium (only the 224 glucose concentration remained similar to the other runs) to test for media limitations. 225 The good repeatability between the runs suggests that nutrient limitations had no 226 effect on the production rates. The succinate production of runs 1 and 3 ceased 227 towards the end of the fermentation, with sufficient glucose remaining in the fermenter 228 $(>20 \text{ g.L}^{-1})$ and the DCW of biomass approaching zero. Pyruvic and formate (see Fig. 229 2) had similar time profiles to that of the DCW, where these acids were consumed 230 after the maximum DCW value had been achieved. The turning point of all three profiles (DCW, pyruvate and formate) occurred close to 25g.L⁻¹ succinate. 231 232 From the DCW turning point in Fig. 2, it is evident that cell death and subsequent lysis 233 occur towards the end of the fermentation. The decreasing leg of the DCW profile 234 indicates that the rate of cell death and lysis exceeds the rate of cell production after 235 60 h. Succinate production and pyruvate/formate consumption still occurred after 60 h, 236 albeit at a much lower rate, suggesting that metabolic activity had not ceased 237 completely. Unlike formate/pyruvate, no acetate consumption was observed in this 238 latter period, suggesting that acetate is a terminal catabolite. Due to severe cell death, 239 the DCW measurement contains a significant and unguantified amount of inactive 240 cells. Accordingly, specific production rates (based on active mass of cells) cannot be 241 determined or used for the fermentation analysis. The observed downward DCW trend is not unique to the observations of this study. Li et al.²⁰ used various organic acids in 242 243 fermentations with modified E. coli NZN111 and E. coli AFP111 strains. Succinate additions of 40 and 60 g.L⁻¹ resulted in an initial increase in broth OD, followed by a 244 245 subsequent decrease for both strains.

The final averaged yield for the completed runs was $0.846 \pm 0.0004 \text{ g.g}^{-1}$, with a final succinate titre of 59.1 ±0.06 g.L⁻¹. This is lesser than the batch yield of 0.96 g.g⁻¹ reported by Jantama et al.¹² who achieved final titres of up to 82.6 g.L⁻¹ in a fermentation with CO₂ supplied in the form of K₂CO₃, although they used the same fermentation medium and initial glucose concentrations as those used in the present study. At 100 h, 95% of all the succinate had been produced at an average productivity of 0.59 ±0.001 g.L⁻¹h⁻¹.

Numerous comparative fermentations have been reported for modified *E. coli* strains. 253 All fermentations were batch, fed-batch or dual-phase fed-batch where aerobic 254 255 conditions were initially used for cell accumulation. The review by Cheng et al.²¹ contains a recent comprehensive list of these fermentations. Notably high yields were 256 obtained by Vemuri²² and by Sanchez in two studies^{23,24} with reported mass-based 257 yields of 0.96, 1.05 and 1.06 g.g⁻¹, respectively. The volumetric productivities of these 258 high-yield fermentations were similar to those observed in this study, ranging from 0.4 259 to 0.6 $g.L^{-1}.h^{-1}$ with the maximum succinate titre (40 $g.L^{-1}$) lower than the batch result 260 from this study. 261

262 **3.2 Continuous fermentations**

The runs were performed at dilution rates of 0.05, 0.10 and 0.15 h⁻¹ in no specific
order. A section of the results can be seen in Fig. 3. The amount of consumed glucose
was used rather than the total glucose concentration, as the initial glucose
concentration varied slightly during the continuous fermentations. Provided the steadystate criteria (see Section 2.5) were satisfied, at least four HPLC and DCW
measurements were obtained within a steady state. All steady states at a given
dilution rate (*D*) were maintained for at least 60 h. Two separate steady states were

performed for $D = 0.1 \text{ h}^{-1}$. The averaged data with standard deviation bars are 270 271 presented in Fig. 4, on which the metabolite concentrations, overall succinate yield 272 and volumetric productivities are plotted. The standard deviation is based on 4, 7 and 4 samples at dilution rates of 0.05, 0.10 and 0.15 h^{-1} respectively. 273 274 The DCW readings tended to decrease during steady-state periods, whereas the 275 metabolite concentrations and dosing flow rates remained constant (see Fig. 3). This 276 suggests that the DCW measurement does not reflect the active amount of biomass and that the inactive fraction varied for different readings. The highest DCW was 277 observed after the dilution rate was switched from 0.05 h^{-1} to 0.15 h^{-1} (Fig. 3), with an 278 279 extremely high DCW reading of 31 g.L⁻¹ occurring directly after the switch. This was 280 most probably caused by a rapid transient reduction in the succinate concentration, 281 which enhanced the cellular growth rate. This corresponds to the behaviour under 282 batch fermentation where the growth rate is closely linked to the product titre, that is, 283 fast at low-titre conditions. It is interesting to note that the succinate productivity of the 284 507-h sample (Fig. 3) is similar to that of the 619-h sample, despite a threefold decrease in DCW (31–9.8 g.L⁻¹). This suggests that severe cell death occurs shortly 285 286 after the growth spurt initiated at 483 h, whereas cell lyses occurred at a slower rate. 287 DCW gradually declines until equilibrium is established between growth, death and lyses, as observed in the 0.05 h^{-1} responses in Fig. 3. 288 Figure 4 (A) only shows an increase in the succinate titre from 18 to 25 g.L⁻¹ as D

Figure 4 (A) only shows an increase in the succinate titre from 18 to 25 g.L⁻¹ as *D* decreases from 0.15 to $0.05 h^{-1}$. This is a relatively small increase (39%) compared with the threefold decrease in throughput. From the batch results, evidently, net cell growth does not occur beyond a succinate titre of $25g.L^{-1}$. However, succinate production does not cease beyond this terminal point, although it does decrease significantly. This production is most probably related to non-growth maintenance

processes of the remaining living cells in the system, although it could also be caused by growth occurring at a slower rate than cell lysis, resulting in a net decrease of DCW. The fact that the continuous succinate titre at very low D (0.05 h⁻¹) is similar to the critical batch titre (25g.L⁻¹) suggests that growth does not occur beyond this critical titre. This implies that continuous cultures are limited by the maximum achievable succinate titre close to 25 g.L⁻¹. This result will significantly affect the downstream processing costs, possibly outweighing the benefits of increased productivity.

302 From Fig. 4 (B), it is evident that volumetric productivity increases significantly at higher dilution rates. An average productivity of $2.74 \pm 0.08 \text{ g}$.L⁻¹.h⁻¹ was achieved at 303 304 $D = 0.15 \text{ h}^{-1}$, almost five times higher than the average batch productivity of 0.59 g.L⁻¹.h⁻¹. The productivity results can also be directly compared with the chemostat 305 study by Van Heerden and Nicol¹¹ on *E. coli* KJ134 (which differs from KJ 122 only by 306 307 the inactivation of phosphotransacetylase), who achieved a maximum productivity of 0.87 g.L^{-1} .h⁻¹ at a *D* of 0.09 h⁻¹. This indicates that the high cell densities significantly 308 309 enhance the volumetric productivity, especially when operating well below the growth termination succinate titre of 25 g.L⁻¹. The productivity trend in Fig. 4 (B) suggests that 310 311 even higher productivities will be achieved at higher throughput (D), but at a lower 312 succinate titre.

Reports of cell recycle succinate fermentation are rare in the open literature, especially with modified *E. coli* strains. Wang²⁵ used an ultrafiltration module to improve the performance of a fed-batch fermenter with modified *E. coli*. Although the differences in broth OD between the cell recycle and the system without any recycle were minimal, less by-product formation with cell recycle resulted in higher yields (0.7 g.g⁻¹) and succinate titre (70 g.L⁻¹). The volumetric productivity in this study remained low (0.4 g.L⁻¹.h⁻¹). Cell recycle with *A. succiniciproducens*²⁶ was the most successful, with a

productivity of 14.8 g.L⁻¹.h⁻¹ and a succinate yield of 0.83 g.g⁻¹. In addition to cell recycle, a fermenter with an electrodialysis unit, for removing organic acids in situ and thereby increasing the final product titre up to 80 g.L⁻¹, was presented. Biofilm systems can also be directly compared with cell recycle systems, where cells are retained within the fermenter by the immobilization properties of *A. succinogenes*.²⁷ Continuous biofilm runs have resulted in productivities exceeding 10 g.L⁻¹.h⁻¹ (Refs. [28, 29]), with reported yields as high as 0.9 g.g⁻¹.¹³

327 3.3 Metabolic flux and yield

328 The average mass balance closures for the respective dilution rates were 0.94 ± 0.03 $(D = 0.05 \text{ h}^{-1}), 0.95 \pm 0.04 (D = 0.1 \text{ h}^{-1}) \text{ and } 1.05 \pm 0.06 (D = 0.15 \text{ h}^{-1}).$ These provide 329 330 confidence in the accuracy of the measurements and suggest that all catabolites have 331 been accounted for. Therefore, the tested continuous data set can be used in a proper 332 metabolic flux analysis, in which the accountability of all catabolites is very important. In contrast to the continuous data set, the metabolic fluxes for the batch fermentations 333 334 were not calculated, as the non-steady-state behaviour complicates the reconciliation 335 of the measurements. Accordingly, only overall yields and general flux trends are 336 discussed for the batch data, while proper flux quantification is performed for the steady state (cell recycle) data. 337

The metabolic flux model is based on the use of the oxidative TCA cycle to generate the reduction power required for homosuccinate fermentation.¹¹ It is also possible to use the glyoxylate shunt for the analysis. However, due to the direct similarity, only the oxidative TCA pathway was considered. A simplified version of the metabolic pathways of *E. coli* KJ 122 is presented in Fig. 5. Pathways of acetate and formate formation were included, despite their deletion, because significant amounts of

344 formate and acetate were measured. Lactate and ethanol were not detected in any HLPC results and were therefore not included in the simplified metabolic pathway. The 345 346 glucose uptake system is not specified in the flux model since no ATP balance was 347 performed. No distinction could be made between pyruvate dehydrogenase and 348 pyruvate formate lyase followed by formate dehydrogenase. Accordingly only pyruvate 349 dehydrogenase was considered. The matrix-based description of the metabolic 350 network was solved for each steady-state sample as shown by Nielsen et al.,³⁰ with 351 closed carbon and nicotinamide adenine dinucleotide (NADH) balances within the 352 presented pathway (Fig. 5). Given the catabolite measurements, the system was fully 353 specified without considering the ATP balance; accordingly, the energy requirements 354 were not considered in the analysis. (The complete stoichiometric coefficient matrix 355 and calculated flux values are available as an electronic annex).

The following dimensionless flux ratios represent the governing splits in the metabolicmodel:

$$PEP_{pyr} = v_{11}/v_2$$
 (3)

$$ACoA_{cit} = v_7 / (v_7 + v_{13})$$
 (4)

$$Pyr_{pdh} = v_{10}/(v_{10} + v_9 + v_8)$$
(5)

$$Pyr_{out} = v_{12}/v_{11}$$
(6)

PEP_{pyr} (Equation 3) represents the fraction of the total carbon flux entering the
oxidative TCA branch towards succinate and other by-products. ACoA_{cit} (Equation 4)
quantifies the fraction of acetyl coenzyme A (acetyl-CoA) that enters the oxidative TCA
cycle. Pyr_{pdh} (Equation 5) represents the fraction of pyruvate converted to acetyl-CoA
through pyruvate dehydrogenase instead of through pyruvate formate lyase. Lastly,

Pyr_{out} (Equation 6) indicates the fraction of formed pyruvate that is excreted into the
medium, probably due to a metabolic overflow from glycolysis.¹² For the perfect
theoretical scenario,¹¹ Pyr_{out} should be zero, whereas Pyr_{pdh} and ACoA_{cit} should be
unity, whereby no formate, pyruvate or acetate is formed as catabolic products.

367 The results of the metabolic flux analysis are presented in Table 2. The average 368 production rates for each dilution rate is given in Table 3, with the calculated glucose 369 consumption. The significant amounts of acetate, formate and pyruvate excreted 370 indicate the non-ideal and non-intended behaviour of the organism. The formation of 371 formate suggests that the pyruvate formate lyase deletion is not effective under 372 prolonged operation and that genetic regression to the original strain occurs. The 373 relatively low and constant value of ACoA_{cit} for all dilution rates indicates that minimal 374 flux was directed towards the oxidative flux of the TCA and that acetate was predominantly formed, despite considerable efforts to terminate the flux.¹² Accordingly, 375 376 the generation of NADH between isocitrate and succinate is not sufficient to eliminate 377 by-product formation due to the overall redox requirements. PEP_{pvr} for homosuccinate fermentation will be 0.14 cmol.cmol⁻¹ (Ref. [11]), and the higher values obtained in the 378 379 flux analysis reflect the significant amount of by-products formed.

380 The flux analysis results in Table 2 indicate that the flux distribution varies significantly 381 with D. A significant decrease in pyruvate excretion is observed as D is increased. The Pyr_{out} ratio decreases with more than a factor of 2 when comparing D=0.05h⁻¹ to 382 D=0.15h⁻¹. The decreased excretion is linked to less pyruvate formed from PEP 383 (PEP_{pyr}) and more of the formed pyruvate converted via the dehydrogenase route 384 (Pyr_{pdh}). A six fold increase in the pyruvate dehydrogenase flux is observed between 385 386 0.05 and 0.15h⁻¹. It also likely that an activity increase in formate dehydrogenase is 387 responsible for the observation since the flux analysis cannot distinguish between the

388 two dehydrogenase routes. The end result is less formate is formed and more NADH 389 is produced from oxidising pyruvate. It is exactly this additional reduction power that 390 allows for more succinate production since the NADH demand in the reductive 391 succinate branch controls the flux distribution at the PEP node. This is clear from the PEP_{pyr} ratio in Table 2 where more carbon is directed towards the reverse TCA branch 392 393 at higher D. The end result is an increasing succinate yield at higher D. Lastly it is 394 clear that the oxidative flux towards succinate remains minimal (ACoAcit ratio) and that 395 most of the Acetyl-coA is converted to acetic acid. Improvement of this ratio will be 396 crucial to further enhancing the succinate yield.

The best continuous yield of 0.77 $g.g^{-1}$ is less than the overall batch yield of 0.85 $q.q^{-1}$ 397 and similar to the maximum chemostat yield of *E. coli* KJ134 of 0.77 g.g⁻¹.¹¹ The 398 399 improvement in the batch yield can be mainly explained by the pyruvate and formate consumption in the batch run beyond a titre of 25 $g.L^{-1}$ (see Fig. 2). It is suspected 400 401 that formate is metabolized by formate dehydrogenase under non-growth conditions 402 (in the batch fermenter). This supplies the NADH that enables the accumulated 403 pyruvate to flux towards succinate in the reductive section of the TCA pathway, 404 thereby increasing the overall succinate yield.

405 4 Conclusion

The study investigated the feasibility of continuous HCDF with modified *E. coli* KJ122.
It is apparent from the results that volumetric productivities can be significantly
enhanced, but only below the critical succinate titre, close to 25 g.L⁻¹ of succinate.
Evidence from batch and continuous runs suggests that growth above this critical titre
is minimal or non-existent, while cell death is rapid and followed by lyses. This limits
the achievable titre in continuous fermentations, while high volumetric productivities

are only possible at higher throughput where the succinate titre is lower than the
critical value. This fermentation process can be successfully implemented with a
separation process, wherein the product titre is not the main cost driver.

415 The steady-state data exhibited proper mass balance closure and allowed for an in-416 depth investigation into the internal flux distributions. It is evident that the oxidative flux 417 to succinate is minimal, thus forcing the production of unwanted by-products. The 418 overall succinate yield did increase with increasing dilution, mainly due to increased 419 pyruvate dehydrogenase action. Unfortunately, the highest yields obtained are still on 420 par with that of the native succinate producers where by-products such as acetate 421 cannot be avoided.¹³ Further, it is clear that the intended flux distribution did not fully 422 succeed under conditions of high cell density.

Batch fermentation with *E. coli* KJ122 is still superior to continuous fermentation in terms of the final succinate titre and overall succinate yield. Its advantage includes the production of succinate due to the formate and pyruvate consumption during the nongrowth period of the fermentation, despite the rapid cell death and lysis that occurs in this period. Nevertheless, the continuous high-cell-density productivity is far superior with values up to fivefold higher than the average batch productivities. A detailed cost analysis will be required to weigh the advantages against the disadvantages.

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517 Figure captions

- 518 Figure 1: Simplified schematic of the reactor set-up equipped for cell recycling
- 519 Figure 2: Metabolite concentration data of three separate batch fermentations
- 520 indicating good repeatability, despite an increase in the medium constituents of batch
- 521 3. (Legend: A: Glucose, ▲ and DCW, ■; B: succinate, ▲ and acetate, •; C: pyruvate,
- 522 **•** and formate, •. The different batch fermentations are shown with a different marker
- 523 fill: batch 1, \blacksquare ; batch 2, \blacksquare ; and batch 3, \Box .)
- 524 Figure 3: Time progression of cell recycle experiment showing a fraction of the total
- 525 fermentation where three different dilution rates were covered. Note the DCW
- 526 decrease for $D = 0.15 \text{ h}^{-1}$, despite metabolite stability. (Total glucose, \blacktriangle ; succinate, •;
- 527 and DCW, ■. Open markers indicate non-steady-state data where the KOH dosing
- 528 criteria were not met.)
- 529 Figure 4: (A) Average metabolite concentration (succinate, •; pyruvate, •; acetate, o;
- and formate, \square) and (B) yield, \blacktriangle , and volumetric productivity, \triangle , for steady-state
- 531 measurements. Bars represent the standard deviation of all steady-state
- 532 measurements.
- 533 Figure 5: Simplified metabolic map employed for flux analysis. Fluxes used in
- 534 Equations 3–6 are indicated on the pathways (Adapted from complete map given by
- 535 Jantama et al., 2008)

537 Tables

538	Table 1: The relevant gene modifications made to E. coli C to obtain succinate-
539	producing <i>E. coli</i> KJ 122 ^{11,12}

Enzyme	Modification	Abbreviation
2-Ketobutyrate formate lyase	Inactivation	Δ tdcE
Acetate kinase	Inactivation	Δ ackA
Alcohol dehydrogenase	Inactivation	$\Delta adh E$
Aspartate aminotransferase	Inactivation	Δ aspC
Citrate lyase	Inactivation	$\Delta \operatorname{citF}$
Formate transporter	Inactivation	Δ focA
Lactate dehydrogenase	Inactivation	Δ ldhA
Methylglyoxal synthase	Inactivation	Δ mgsA
NAD+-linked malic enzyme	Inactivation	Δ sfcA
PEP carboxykinase	Overexpression	pck+
Pyruvate formate lyase	Inactivation	$\Delta pflB$
Pyruvate oxidase	Inactivation	$\Delta poxB$
Threonine decarboxylase	Inactivation	Δ tdcD

541	Table 2: Results from metabolic flux analysis with standard deviation						
	Dilution rate PEP _{pyr}		ACoA _{cit}	Pyr _{pdh}	Pyr _{out}		
	(h ⁻¹)	(cmol.cmol ^{−1})	(cmol.cmol ^{−1})	(cmol.cmol ^{−1})	(cmol.cmol ^{−1})		
	0.05	0.43 ± 0.004	0.200 ± 0.01	0.19 ± 0.09	0.65 ± 0.04		
	0.10	0.38 ± 0.035	0.196 ± 0.02	0.50 ± 0.17	0.47 ± 0.15		
	0.15	0.34 ± 0.021	0.187 ± 0.01	0.68 ± 0.10	0.31 ± 0.07		

542

544	Table 3: Average volumetric productivities for each dilution rate, with the metabolized
545	glucose calculated from equation 1.

Dilution rate	DCW (g.L ⁻¹ .h ⁻¹)	Glucose consumed	Calculated glucose	Succinic acid	Formic acid	Acetic acid	Pyruvic acid
(h ⁻¹)		(g.L ⁻¹ .h ⁻¹)					
0.05	0.04 ± 0.002	2.1 ± 0.09	1.9 ± 0.09	1.4 ± 0.02	0.11 ± 0.02	0.15 ± 0.02	0.51 ± 0.12
0.10	0.06 ± 0.001	2.9 ± 0.15	2.8 ± 0.10	2.2 ± 0.14	0.13 ± 0.03	0.27 ± 0.05	0.49 ± 0.22
0.15	0.34 ± 0.038	3.6 ± 0.16	3.7 ± 0.24	2.7 ± 0.10	0.12 ± 0.04	0.39 ± 0.02	0.33 ± 0.10

550 Figures



552 Figure 1: Simplified schematic of the reactor setup equipped for cell recycling

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Figure 2: Metabolite concentration data of 3 separate batch fermentations indicating
good repeatability despite an increase in the medium constituents of batch 3. (Legend:
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formate, •; The different batch fermentations are shown with a different marker fill:
batch 1, ■; batch 2, ■ and batch 3, □).



Figure 3: Time progression of cell recycle experiment showing a fraction of the total fermentation where 3 different dilution rates were covered. Note the DCW decrease for D=0.15 h⁻¹, despite metabolite stability. (Total glucose, \blacktriangle , succinate, \bullet and DCW, ; open markers indicate non-steady state data where KOH dosing criteria were not met).



Figure 4: (A) Average metabolite concentration (succinate, ●, pyruvate, ■, acetate, o,
and formate, □) and (B) yield, ▲, and volumetric productivity, △, for steady state
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